JC19 Rec'd PCT/PTO 15 JUN 2001

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE (REV. 1094)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
C1043/7034

U S APPLICATION NO (If known, see 37 CFR 1 5)
09/868262

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/GB99/04144	14 December 1999 (14.12.99)	17 December 1998 (17.12.98)

TITLE OF INVENTION
ORGANIC LIGHT-EMITTING DEVICES

APPLICANT(S) FOR DO/EO/US
HEEKS, Stephen Karl; BURROUGHES, Jeremy Henley; CARTER, Julian Charles;

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. X This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. X This express request to begin national procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. X A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. X has been transmitted by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).

7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c ☐ have not been made; however, the time limit for making such amendments has NOT expired
   d. X have not been made and will not be made.

8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(C)(5))

Items 11. To 16. Below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3 31 is included.

13. X A FIRST preliminary amendment (with amended claims).
    ☐ A SECOND or SUBSEQUENT preliminary amendment.

14. ☐ A substitute specification (submitted as a first Preliminary Amendment).

15. ☐ A change of power of attorney and/or address letter.

16. X Other items or information:
    Mailed via Express Mailing Label No. EL711250272US
    Published Application with Search Report
    Post Card

Mailing Date: June 15, 2001
Express Mail Label No. EL711250272US

U.S. APPLICATION NO (If known, see 37 C F R 1 5) 09/868262	INTERNATIONAL APPLICATION PCT/GB99/04144	ATTORNEY'S DOCKET NUMBER C1043/7034

17. X The following fees are submitted:

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO $860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) $690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. $760 00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... $1000.00

International preliminary examination fee paid to USPTO (37 CFR 1 482) and all claims satisfied provisions of PCT Article 33(2)-(4) $100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =				$ 860.00	
Surcharge of $130.00 for furnishing the oath or declaration later than ~ 20 X 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	31 - 20 =	11	X $18.00	$ 198.00	
Independent Claims	3 - 3 =	0	X $80.00	$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+$260.00	$	
TOTAL OF ABOVE CALCULATIONS =				$1058.00	
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				$	
SUBTOTAL =				$1058.00	
Processing fee of $130.00 for furnishing the English translation later than ~ 20 ~ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				$	
TOTAL NATIONAL FEE =				$1058.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate coversheet (37 CFR 3.28, 3 31). $40 00 per property +				$	
TOTAL FEES ENCLOSED =				$1058.00	
				Amount to be: refunded	$
				charged	$

a. X A check in the amount of $1058.00 to cover the above fees is enclosed.

b. Please charge by Deposit Account No. _____ In the amount of $_____ To cover the above fees. A duplicate copy of this sheet is enclosed.

c. X The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

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NAME

30,389
REGISTRATION NO

ATTORNEY'S DOCKET NO: **C1043/7034**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen Karl Heeks et al.
Serial No: --
Filed: Herewith
For: ORGANIC LIGHT-EMITTING DEVICES

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Please amend the above application as follows to conform the specification with U.S. practice.

In The Specification

- On page 1, before the first paragraph, please add the subheading
  -- Field of the Invention --
- On page 1, before the second paragraph, please add the subheading
  -- Background of the Invention --
- On page 1, before the third paragraph, please add the subheading
  -- Summary of the Invention --
- On page 4, before the second paragraph, please add the subheading
  -- Brief Description of the Drawings --;
- On page 4, before the third paragraph, please add the subheading
  -- Detailed Description –

In the Abstract

Please add the following Abstract (also attached on a separate sheet at the end of this preliminary amendment):

-- An organic light-emitting device comprising a layer of light-emissive organic material interposed between a first electrode and a second electrode, at

least one of the first and second electrodes comprising one or more electrode layers on the layer of light-emissive organic material for injecting charge carriers into the light-emissive organic material, wherein the organic light-emitting device further comprises a layer of dielectric material on the surface of the outermost electrode layer remote from the layer of light-emissive organic material. --

<u>In The Claims</u>

Please delete claim 32.

Please amend the claims as follows:

1. An organic light-emitting device comprising a layer of light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the layer of light-emissive organic material for injecting charge carriers into the light-emissive organic material, wherein the organic light-emitting device further comprises a layer of dielectric material on the surface of the outermost electrode layer remote from the layer of light-emissive organic material.

2. An organic light-emitting device according to claim 1 wherein the dielectric material is selected from the group consisting of SiO, AlN, SiO_2, Si_3N_4 and Al_2O_3.

3. An organic light-emitting device according to claim 2 wherein the dielectric material is AlN.

4. (amended) An organic light-emitting device according to claim 1, wherein the thickness of the dielectric layer is in the range of 0.01 to 10 microns.

5. An organic light-emitting device according to claim 1 further comprising at least a second layer of dielectric material on the first layer of dielectric material, the thickness of the layers being selected so as to reduce mechanical stress on the cathode.

6. An organic light-emitting device according to claim 5 wherein the first and second layers of dielectric material comprise layers of different dielectric materials.

7. (amended) An organic light-emitting device according to claim 5 wherein the first and second layers of dielectric material comprise layers of materials selected from the group consisting of AlN, SiO_2, Si_3N_4 and Al_2O_3.

8. An organic light emitting device according to claim 5 wherein the first layer of dielectric material is a layer of AlN and the second layer of dielectric material is a layer of Al_2O_3.

9. (amended) An organic light-emitting device according to claim 5 wherein the first and second layers of dielectric material each have thicknesses in the range of 0.01 to 10 microns.

10. An organic light emitting device comprising at least one layer of a light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the light-emissive material for injecting charge carriers into the light-emissive material; wherein the organic light-emitting device further has a stack comprising a first inert barrier layer and at least one gettering layer interposed between the outermost electrode layer and the first inert barrier layer for absorbing moisture and oxygen.

11. An organic light-emitting device according to claim 10 wherein the first inert barrier layer is a layer of a material selected from the group consisting of AlN, Al_2O_3, SiO_2 and Si_3N_4, and is preferably a layer of AlN.

12. An organic light-emitting device according to claim 10 wherein the first inert barrier layer has a thickness in the range of 0.01 to 10 microns.

13. An organic light-emitting device according to claim 1 wherein the stack further comprises a second inert barrier layer interposed between the gettering layer and the surface of the outermost electrode layer remote from the layer of light-emissive organic material.

14. An organic light-emitting device according to claim 13 wherein the second inert barrier layer is a layer of sputtered aluminium and the first inert barrier layer is a layer of AlN.

15. An organic light-emitting device according to claim 13 wherein the first and second inert barrier layers each have a thickness in the range of 0.01 to 10 microns.

16. (amended) An organic light-emitting device according to claim 10 wherein the gettering layer is a layer of a reactive metal or metal alloy, or a hygroscopic oxide.

17. An organic light-emitting device according to claim 16 wherein the gettering layer is a layer of BaO.

18. An organic light-emitting device according to claim 16 wherein the gettering layer is a layer of a material selected from the group consisting of Li, Ca, LiAl, Ba and Cs.

19. An organic light-emitting device according to claim 18 wherein the gettering layer is a layer of Ca.

20. (amended) An organic light-emitting device according to claim 10 wherein the thickness of the gettering layer is in the range of 0.01 to 5 microns.

21. An organic light emitting device according to claim 10 wherein at least one of the first and second electrodes is a multi-layered electrode comprising a first low work function conductive layer on the layer of light-emissive organic material and a second conductive layer on the surface of the first low work function conductive layer remote from the layer of light-emissive organic material.

22. An organic light-emitting device according to claim 21 wherein the first low work function conductive layer is an evaporated layer of calcium having a thickness of 200nm or less, and the second conductive layer is a layer of evaporated aluminium having a thickness of 5 microns or less.

23. A method of providing a protective cap on a first electrode of an organic light-emitting device comprising at least one layer of a light-emissive organic material between first and second electrodes for injecting charge carriers into the light-emissive organic material, said method comprising the step of forming a first layer of a dielectric material on the surface of the first electrode opposite the layer of light-emissive organic material by a vacuum evaporation technique.

24. A method according to claim 23 further comprising the step of forming a second layer of a dielectric material on the surface of the first layer of the dielectric material opposite the first electrode.

25. (amended) A method according to claim 23 wherein the first layer of dielectric material comprises a layer of silicon monoxide.

26. (amended) A method according to claim 23 wherein the first layer of dielectric material has a thickness in the range of 10 to 10,000 Angstroms.

27. A method according to claim 26 wherein the first layer of dielectric materal has a thickness in the range of 100 to 2000 Angstroms.

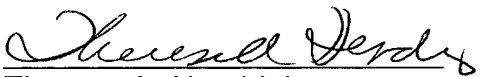
28. A method according to claim 27 wherein the first layer of dielectric material has a thickness in the range of about 1000 Angstroms.

29. A method according to claim 24 wherein the second layer of dielectric material is formed by a sputtering technique.

30. A method according to claim 24 wherein the second layer of dielectric material comprises a layer of a material selected from the group consisting of AlN, SiO_2, Si_3N_4 and Al_2O_3.

31. (amended) An organic light-emitting device produced by a method according to claim 23.

Respectfully Submitted,



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Date: June 15, 2001
Docket No. C1043/7034

ABSTRACT

An organic light-emitting device comprising a layer of light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the layer of light-emissive organic material for injecting charge carriers into the light-emissive organic material, wherein the organic light-emitting device further comprises a layer of dielectric material on the surface of the outermost electrode layer remote from the layer of light-emissive organic material.

09/868262

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CLAIMS

1. An organic light-emitting device comprising a layer of light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the layer of light-emissive organic material for injecting charge carriers into the light-emissive organic material, wherein the organic light-emitting device further comprises a layer of dielectric material on the surface of the outermost electrode layer remote from the layer of light-emissive organic material.
2. An organic light-emitting device according to claim 1 wherein the dielectric material is selected from the group consisting of SiO, AlN, SiO_2, Si_3N_4 and Al_2O_3.
3. An organic light-emitting device according to claim 2 wherein the dielectric material is AlN.
4. An organic light-emitting device according to any preceding claim, wherein the thickness of the dielectric layer is in the range of 0.01 to 10 microns.
5. An organic light-emitting device according to claim 1 further comprising at least a second layer of dielectric material on the first layer of dielectric material, the thickness of the layers being selected so as to reduce mechanical stress on the cathode.
6. An organic light-emitting device according to claim 5 wherein the first and second layers of dielectric material comprise layers of different dielectric materials.
7. An organic light-emitting device according to claim 5 or claim 6 wherein the first and second layers of dielectric material comprise layers of materials selected from the group consisting of AlN, SiO_2, Si_3N_4 and Al_2O_3.
8. An organic light emitting device according to claim 5 wherein the first layer of dielectric material is a layer of AlN and the second layer of dielectric material is a layer of Al_2O_3.

9. An organic light-emitting device according any of claims 5 to 8 wherein the first and second layers of dielectric material each have thicknesses in the range of 0.01 to 10 microns

10. An organic light emitting device comprising at least one layer of a light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the light-emissive material for injecting charge carriers into the light-emissive material; wherein the organic light-emitting device further has a stack comprising a first inert barrier layer and at least one gettering layer interposed between the outermost electrode layer and the first inert barrier layer for absorbing moisture and oxygen.

11. An organic light-emitting device according to claim 10 wherein the first inert barrier layer is a layer of a material selected from the group consisting of AlN, Al_2O_3, SiO_2 and Si_3N_4, and is preferably a layer of AlN.

12. An organic light-emitting device according to claim 10 wherein the first inert barrier layer has a thickness in the range of 0.01 to 10 microns.

13. An organic light-emitting device according to claim 1 wherein the stack further comprises a second inert barrier layer interposed between the gettering layer and the surface of the outermost electrode layer remote from the layer of light-emissive organic material.

14. An organic light-emitting device according to claim 13 wherein the second inert barrier layer is a layer of sputtered aluminium and the first inert barrier layer is a layer of AlN.

15. An organic light-emitting device according to claim 13 wherein the first and second inert barrier layers each have a thickness in the range of 0.01 to 10 microns.

16. An organic light-emitting device according to any of claims 10 to 15 wherein the gettering layer is a layer of a reactive metal or metal alloy, or a hygroscopic oxide.

17. An organic light-emitting device according to claim 16 wherein the gettering layer is a layer of BaO.

18. An organic light-emitting device according to claim 16 wherein the gettering layer is a layer of a material selected from the group consisting of Li, Ca, LiAl, Ba and Cs.

19. An organic light-emitting device according to claim 18 wherein the gettering layer is a layer of Ca.

20. An organic light-emitting device according to any of claims 10 to 19 wherein the thickness of the gettering layer is in the range of 0.01 to 5 microns.

21. An organic light emitting device according to claim 10 wherein at least one of the first and second electrodes is a multi-layered electrode comprising a first low work function conductive layer on the layer of light-emissive organic material and a second conductive layer on the surface of the first low work function conductive layer remote from the layer of light-emissive organic material.

22. An organic light-emitting device according to claim 21 wherein the first low work function conductive layer is an evaporated layer of calcium having a thickness of 200nm or less, and the second conductive layer is a layer of evaporated aluminium having a thickness of 5 microns or less.

23. A method of providing a protective cap on a first electrode of an organic light-emitting device comprising at least one layer of a light-emissive organic material between first and second electrodes for injecting charge carriers into the light-emissive organic material, said method comprising the step of forming a first layer of a dielectric material on the surface of the first electrode opposite the layer of light-emissive organic material by a vacuum evaporation technique.

24. A method according to claim 23 further comprising the step of forming a second layer of a dielectric material on the surface of the first layer of the dielectric material opposite the first electrode.

25. A method according to claim 23 or claim 24 wherein the first layer of dielectric material comprises a layer of silicon monoxide.

26. A method according to any of claims 23 to 25 wherein the first layer of dielectric material has a thickness in the range of 10 to 10,000 Angstroms.

27. A method according to claim 26 wherein the first layer of dielectric material has a thickness in the range of 100 to 2000 Angstroms.

28. A method according to claim 27 wherein the first layer of dielectric material has a thickness in the range of about 1000 Angstroms.

29. A method according to claim 24 wherein the second layer of dielectric material is formed by a sputtering technique.

30. A method according to claim 24 wherein the second layer of dielectric material comprises a layer of a material selected from the group consisting of AlN, SiO_2, Si_3N_4 and Al_2O_3.

31. An organic light-emitting device produced by a method according to [any one of claims 23 to 30] claim 23

32. An organic light-emitting device substantially as hereinbefore described with reference to the accompanying drawings.] delete

ORGANIC LIGHT-EMITTING DEVICES

This invention relates to organic light-emitting devices (OLEDs).

Organic light-emitting devices such as described in US Patent No. 5,247,190 or in US Patent No. 4,539,507, the contents of which are incorporated herein by reference, have great potential for use in various display applications. According to one method, an OLED is fabricated by coating a glass or plastic substrate with a transparent first electrode (anode) such as indium tin oxide (ITO). At least one layer of a thin film of an electroluminescent organic material is then deposited prior to a final layer which is a film of a second electrode (cathode) which is typically a metal or alloy.

From the point of view of electron-injecting properties, a layer of a metal having a low work function such as calcium or an alloy containing a metal having a low work function are the preferred materials for the cathode. However, it is an intrinsic property of such low work function elements that they are very prone to reactions with reactive ambient species such as oxygen or moisture. Such reactions detrimentally affect the electron-injecting properties of the cathode causing the formation of non-emitting black spots with a consequent degradation in device performance.

It is therefore an aim of the present invention to provide an organic light-emitting device which is less prone to the formation of non-emitting black spots and therefore displays improved resistance to performance degradation.

It is another aim of the present invention to provide a method of producing a protective cap for an electrode of an organic light-emissive device which minimizes damage to the underlying organic layers.

According to one aspect of the present invention, there is provided an organic light-emitting device comprising at least one layer of a light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the

light-emissive material; wherein the organic light-emitting device further has a stack comprising an inert barrier layer and at least one gettering layer interposed between the outermost electrode layer and the inert barrier layer for absorbing moisture and oxygen.

The advantages of this aspect of the present invention are particularly pronounced when the electrode upon which the stack is formed comprises at least one layer deposited by vacuum evaporation.

The inert barrier layer serves to minimize the entry of reactive species into the device, and the gettering layer serves to absorb any traces of reactive species which manage to somehow permeate through the inert barrier layer.

The inert barrier layer is preferably a layer of an inorganic dielectric material preferably selected from the group consisting of AlN, Al_2O_3, SiO_2 and Si_3N_4, and preferably has a thickness in the range of 0.01 to 10 microns, further preferably in the range of 1 to 10 microns. The inert barrier layer is preferably deposited by a sputtering technique to provide a pinhole-free layer.

The gettering layer is preferably a layer of a material which displays high reactivity towards moisture and oxygen such as Li, Ca, Ba or Cs, or an alloy of the same such as LiAl, or a hygroscopic oxide such as BaO. It preferably has a thickness in the range of 0.01 to 5 microns. Calcium is a particularly preferred material for the gettering layer. The gettering layer may be deposited by a sputtering technique to provide a pinhole-free layer. Alternatively, it may be deposited by a vacuum evaporation technique.

According to another aspect of the present invention, there is provided an organic light-emitting device comprising a layer of light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the layer of light-emissive organic material for injecting charge carriers into the light-emissive organic material, wherein the organic light-emitting device further comprises a

layer of dielectric material on the surface of the outermost electrode layer remote from the layer of light-emissive organic material.

The advantages of this aspect of the present invention are also particularly pronounced when the electrode upon which the dielectric layer or layers is formed comprises at least one layer deposited by vacuum evaporation.

In one embodiment of the present invention, the organic light-emitting device further comprises a second layer of dielectric material on the first layer of dielectric material, the thickness of the dielectric layers being selected so as to reduce mechanical stress on the electrode .

Suitable dielectric materials for each of the first and second layers include inorganic dielectric materials, preferably SiO, AlN, SiO_2, Si_3N_4 and Al_2O_3. The thickness of each of the dielectric layers is preferably in the range of 0.01 to 10 microns, preferably in the range of 1 to 10 microns.

Each of the dielectric layers may be deposited by a sputtering technique or by a vacuum evaporation technique..

According to a third aspect of the present invention, there is provided a method of providing a protective cap on a first electrode of an organic light-emitting device comprising at least one layer of a light-emissive organic material between first and second electrodes for injecting charge carriers into the light-emissive organic material, said method comprising the step of forming a first layer of a dielectric material on the surface of the first electrode opposite the layer of light-emissive organic material by a vacuum evaporation technique.

The first electrode typically comprises one or more metal layers with the dielectric layer being formed directly on the surface of the outermost metal layer remote from the organic light-emissive material.

Further barrier layers and/or gettering layers of the kind discussed above can be provided on the first dielectric layer.

As with the first and second aspects of the present invention, the advantages of the third aspect of the present invention are pronounced when the subject electrode has been deposited by a vacuum evaporation technique.

Hereunder, preferred embodiments of the present invention will be described, by way of example only, with reference to the accompanying drawings in which:-
Figure 1 is a schematic cross-sectional view of an organic light-emitting device according to a first embodiment of the present invention.
Figure 2 is a schematic cross-sectional view of an organic light-emitting device according to a second embodiment of the present invention.
Figure 3 is a schematic cross-sectional view of an organic light-emitting device according to a third embodiment of the present invention.
Figure 4 is a schematic cross-sectional view of an organic light-emitting device according to a fourth embodiment of the present invention.
Figure 5 is a schematic cross-sectional view of an organic light-emitting device according to a fifth embodiment of the present invention.
Figure 6 is a schematic cross-sectional view of an organic light-emitting device according to a sixth embodiment of the present invention.
Figure 7 is a schematic cross-sectional view of an organic light-emitting device according to a seventh embodiment of the present invention.

An organic light-emitting device according to a first embodiment of the present invention is shown in Figure 1. The device comprises a first electrode layer 4, in this case an anode layer comprised of indium tin oxide (ITO) formed on a substrate 2. The substrate may, for example, be one made of glass or a flexible plastic substrate or may be a glass-plastic laminate. A first thin film 6 of a light-emissive organic material (in this case, poly(phenylenevinylene) (PPV)) is formed on the ITO layer 4. This organic PPV layer can be formed by spin-coating a precursor to PPV in a suitable solvent onto the ITO layer and then heating the spin-coated layer to convert the precursor to the polymer PPV. A second thin film 8 of an organic material (such as MEH-PPV) is formed on the first thin film of light-emissive organic material 6. This second thin film 8 can, for example, be formed in the same general manner as the first thin film 6 of light-emissive organic material. The

second thin film of organic material may serve as a light-emissive layer or a charge transport layer or have some other purpose. Further light-emissive organic layers can be provided.

Alternatively, layer 6 could be a charge-transport layer such as polyethylenedioxythiophene doped with polystyrene sulphonic acid (PEDT:PSS), or polyaniline and the second thin film 8 may be the light-emissive layer such as a blend of 5% poly(2,7-(9,9-di-n-octylfluorene)-3,6-(benzothiadiazole) with 95% poly(2,7-(9,9-di-n-octylfluorene) (5F8BT), poly (2,7-(9,9-di-n-octylfluorene) (F8), poly(2,7-(9,9-di-n-octylfluorene)-(1,4-phenylene-((4-methylphenyl)imino)-1,4-phenylene-((4-methylphenyl)imino)-1,4-phenylene))/poly(2,7-(9,9-di-n-octylfluorene) (PFM:F8), poly(2,7-(9,9-di-n-octylfluorene)-(1,4-phenylene-((4-methoxyphenyl)imino)-1,4-phenylene-((4-methoxyphenyl)imino)-1,4-phenylene))/poly(2,7-(9,9-di-n-octylfluorene)/poly(2,7-(9,9-di-n-octylfluorene)-(1,4-phenylene-((1,4-phenylene-((4-secbutylphenyl)imino)-1,4-phenylene)) (PFMO:F8:TFB).

A thin layer 10 of calcium having a thickness of 200nm is formed on the second thin film of organic material 8. This calcium layer functions as a cathode and can be formed, for example, by rf sputtering or dc magnetron sputtering (preferably using neon as a discharge gas) or by vacuum evaporation. Vacuum evaporation is the preferred technique because it causes less damage to the underlying organic material than a sputtering technique.

A thick layer of aluminium nitride 12 having a thickness of about 10 microns is formed on the thin layer of calcium 10. This aluminium nitride layer is preferably deposited by sputtering to provide a pinhole-free layer. A conventional sputtering technique such as rf sputtering or dc magnetron sputtering may be employed using a sputter target/cathode made of aluminium and a discharge gas containing nitrogen.

This thick aluminium nitride layer 12 is very impermeable with respect to ambient species such as oxygen and moisture and therefore serves to effectively protect the underlying calcium cathode layer from these reactive species.

An organic light-emitting device according to a second embodiment of the present invention is shown in Figure 2. It is identical to the device shown in Figure 1 except that an additional layer 14 of aluminium having a thickness of 5 microns is provided between the thin calcium layer 10 and the thick layer of aluminium nitride 12 as a second cathode layer. In this case, this intermediate layer of aluminium is formed by vacuum evaporation, but it could alternatively be formed by a sputtering technique for example.

An organic light-emitting device according to a third embodiment of the present invention is shown in Figure 3. It is similar to the device shown in Figure 2 except that a thick layer 16 of aluminium oxide having a thickness of about 10 microns is provided on the thick layer of aluminium nitride 12. This top layer of aluminium oxide is preferably formed by a sputtering technique in order to provide a pinhole-free layer.

An organic light-emitting device according to a fourth embodiment of the present invention is shown in Figure 4. This device is identical to that shown in Figure 2 except that a second layer of calcium 18 having a thickness of about 5 microns is provided between the aluminium layer 14 and the aluminium nitride layer 12. This second calcium layer is provided to getter any reactive species which may somehow manage to permeate through the overlying aluminium nitride and thus provide protection for the underlying cathode. This second layer of calcium 18 is preferably deposited by a sputtering technique in order to provide a pinhole-free layer.

An organic light-emitting device according to a fifth embodiment of the present invention is shown in Figure 5. This device is similar to that shown in Figure 4 except that a sputtered layer of aluminium 20 having a thickness of about 10 microns is provided between the evaporated aluminium layer 14 and the second layer of calcium 18 as an additional barrier layer. According to a further variation as shown in Figure 6, a further sputtered layer of aluminium is provided between the second calcium layer 18 and the aluminium nitride layer 12.

An organic light-emissive device according to a seventh embodiment of the present invention is shown in Figure 7. This is similar to the device shown in Figure 3, except that the Ca/Al two-layer cathode is capped with a 1000 Angstrom layer 24 of SiO deposited by thermal evaporation from a high temperature ceramic boat and a 10 micron layer 26 of aluminium nitride deposited by sputtering. The protective SiO/AlN two-layer cap employed in this embodiment provides excellent cathode protection. It is thought that this is due to the fact that the SiO layer not only acts as a physical barrier but also acts as a gettering layer by reacting with moisture.

Although, the devices described above all demonstrate the application of the present invention to the protection of a cathode, the present invention can equally be applied to the protection of the anode, or both the anode and the cathode.

CLAIMS

1. An organic light-emitting device comprising a layer of light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the layer of light-emissive organic material for injecting charge carriers into the light-emissive organic material, wherein the organic light-emitting device further comprises a layer of dielectric material on the surface of the outermost electrode layer remote from the layer of light-emissive organic material .
2. An organic light-emitting device according to claim 1 wherein the dielectric material is selected from the group consisting of SiO, AlN, SiO_2, Si_3N_4 and Al_2O_3.
3. An organic light-emitting device according to claim 2 wherein the dielectric material is AlN.
4. An organic light-emitting device according to any preceding claim, wherein the thickness of the dielectric layer is in the range of 0.01 to 10 microns.
5. An organic light-emitting device according to claim 1 further comprising at least a second layer of dielectric material on the first layer of dielectric material, the thickness of the layers being selected so as to reduce mechanical stress on the cathode.
6. An organic light-emitting device according to claim 5 wherein the first and second layers of dielectric material comprise layers of different dielectric materials.
7. An organic light-emitting device according to claim 5 or claim 6 wherein the first and second layers of dielectric material comprise layers of materials selected from the group consisting of AlN, SiO_2, Si_3N_4 and Al_2O_3.
8. An organic light emitting device according to claim 5 wherein the first layer of dielectric material is a layer of AlN and the second layer of dielectric material is a layer of Al_2O_3.

9. An organic light-emitting device according any of claims 5 to 8 wherein the first and second layers of dielectric material each have thicknesses in the range of 0.01 to 10 microns

10. An organic light emitting device comprising at least one layer of a light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the light-emissive material for injecting charge carriers into the light-emissive material; wherein the organic light-emitting device further has a stack comprising a first inert barrier layer and at least one gettering layer interposed between the outermost electrode layer and the first inert barrier layer for absorbing moisture and oxygen.

11. An organic light-emitting device according to claim 10 wherein the first inert barrier layer is a layer of a material selected from the group consisting of AlN, Al_2O_3, SiO_2 and Si_3N_4, and is preferably a layer of AlN.

12. An organic light-emitting device according to claim 10 wherein the first inert barrier layer has a thickness in the range of 0.01 to 10 microns.

13. An organic light-emitting device according to claim 1 wherein the stack further comprises a second inert barrier layer interposed between the gettering layer and the surface of the outermost electrode layer remote from the layer of light-emissive organic material.

14. An organic light-emitting device according to claim 13 wherein the second inert barrier layer is a layer of sputtered aluminium and the first inert barrier layer is a layer of AlN.

15. An organic light-emitting device according to claim 13 wherein the first and second inert barrier layers each have a thickness in the range of 0.01 to 10 microns.

16. An organic light-emitting device according to any of claims 10 to 15 wherein the gettering layer is a layer of a reactive metal or metal alloy, or a hygroscopic oxide.

17. An organic light-emitting device according to claim 16 wherein the gettering layer is a layer of BaO.

18. An organic light-emitting device according to claim 16 wherein the gettering layer is a layer of a material selected from the group consisting of Li, Ca, LiAl, Ba and Cs.

19. An organic light-emitting device according to claim 18 wherein the gettering layer is a layer of Ca.

20. An organic light-emitting device according to any of claims 10 to 19 wherein the thickness of the gettering layer is in the range of 0.01 to 5 microns.

21. An organic light emitting device according to claim 10 wherein at least one of the first and second electrodes is a multi-layered electrode comprising a first low work function conductive layer on the layer of light-emissive organic material and a second conductive layer on the surface of the first low work function conductive layer remote from the layer of light-emissive organic material.

22. An organic light-emitting device according to claim 21 wherein the first low work function conductive layer is an evaporated layer of calcium having a thickness of 200nm or less, and the second conductive layer is a layer of evaporated aluminium having a thickness of 5 microns or less.

23. A method of providing a protective cap on a first electrode of an organic light-emitting device comprising at least one layer of a light-emissive organic material between first and second electrodes for injecting charge carriers into the light-emissive organic material, said method comprising the step of forming a first layer of a dielectric material on the surface of the first electrode opposite the layer of light-emissive organic material by a vacuum evaporation technique.

24. A method according to claim 23 further comprising the step of forming a second layer of a dielectric material on the surface of the first layer of the dielectric material opposite the first electrode.

25. A method according to claim 23 or claim 24 wherein the first layer of dielectric material comprises a layer of silicon monoxide.

26. A method according to any of claims 23 to 25 wherein the first layer of dielectric material has a thickness in the range of 10 to 10,000 Angstroms.

27. A method according to claim 26 wherein the first layer of dielectric material has a thickness in the range of 100 to 2000 Angstroms.

28. A method according to claim 27 wherein the first layer of dielectric material has a thickness in the range of about 1000 Angstroms.

29. A method according to claim 24 wherein the second layer of dielectric material is formed by a sputtering technique.

30. A method according to claim 24 wherein the second layer of dielectric material comprises a layer of a material selected from the group consisting of AlN, SiO_2, Si_3N_4 and Al_2O_3.

31. An organic light-emitting device produced by a method according to any one of claims 23 to 30.

32. An organic light-emitting device substantially as hereinbefore described with reference to the accompanying drawings.

PCT

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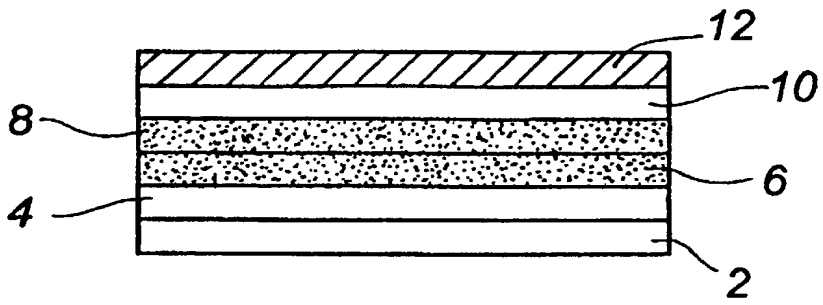
(54) Title: ORGANIC LIGHT-EMITTING DEVICES



(57) Abstract

An organic light-emitting device comprising a layer of light-emissive organic material interposed between a first electrode and a second electrode, at least one of the first and second electrodes comprising one or more electrode layers on the layer of light-emissive organic material for injecting charge carriers into the light-emissive organic material, wherein the organic light-emitting device further comprises a layer of dielectric material on the surface of the outermost electrode layer remote from the layer of light-emissive organic material.

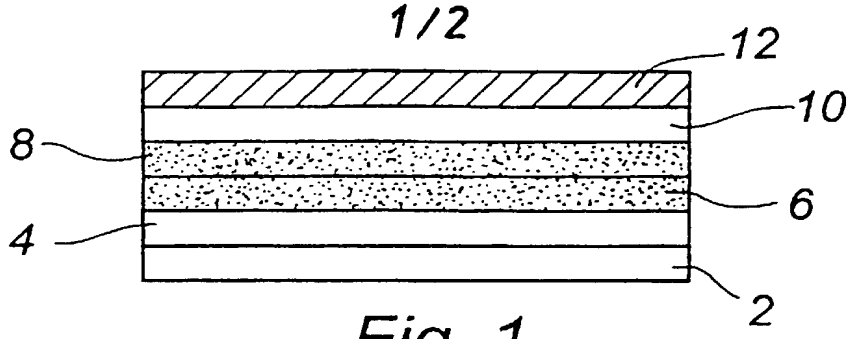


Fig. 1

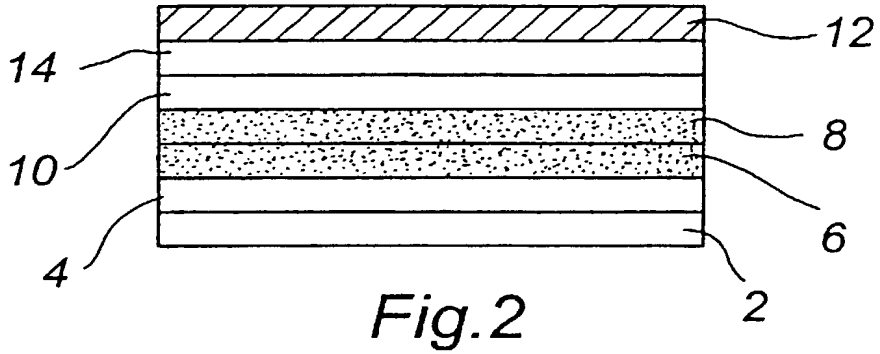


Fig.2

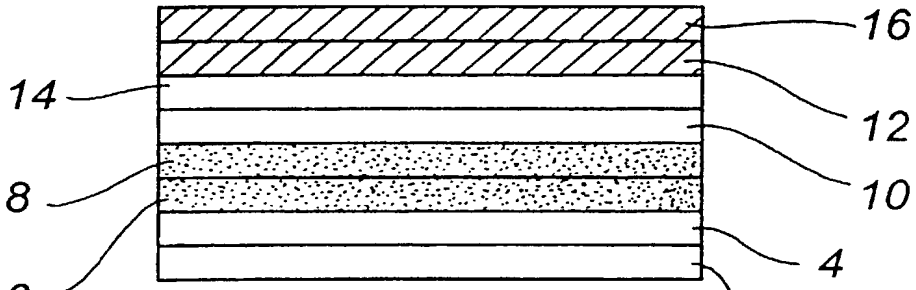


Fig. 3

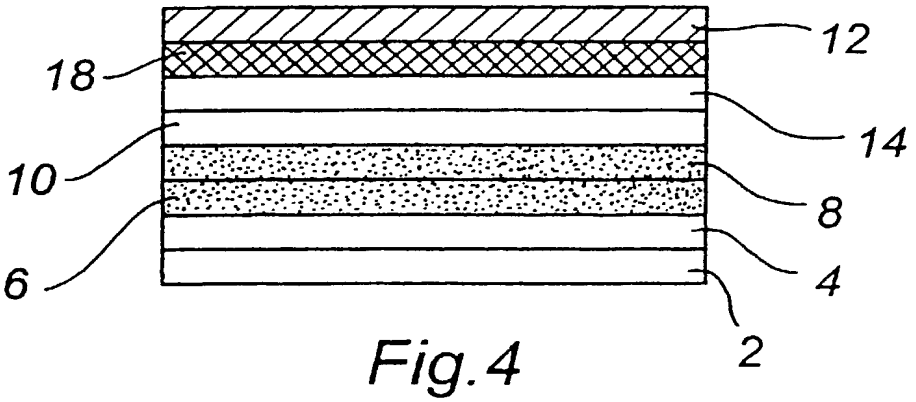


Fig.4

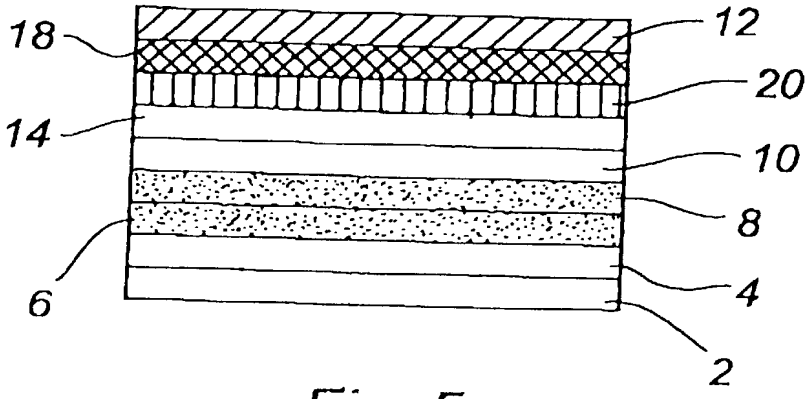


Fig. 5

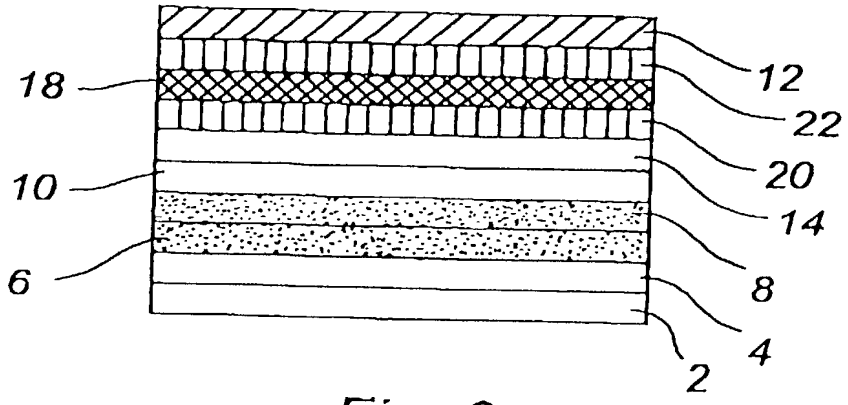


Fig. 6

Customer Number 22,852
Attorney Docket No [Text]

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ORGANIC LIGHT-EMITTING DEVICES, the specification of which was filed on June 15, 2001 as United States Application Serial No. 09/868,262.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT International application(s) having a filing date before that of the application(s) of which priority is claimed

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
PCT	PCT/GB99/04144	14 December 1999	X YES ☐ NO
Great Britain	9827827.8	17 December 1998	X YES ☐ NO
Great Britain	9922723.3	24 September 1999	X YES ☐ NO

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

Application Number	Date of Filing

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1 56 which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application:

Application Number	Date of Filing	Status (Patented, Pending, Abandoned)

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.,** **CUSTOMER NUMBER 22,852** Douglas B Henderson, Reg. No. 20,291; Ford F. Farabow, Jr , Reg. No. 20,630; Arthur S Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645, Jerry D Voight, Reg. No 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M Romary, Reg. No. 26,331; Bruce C Zotter, Reg No. 27,680; Dennis P. O'Reilley, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415, Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr. Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354, John C. Paul, Reg. No. 30,413; Roger D. Taylor, Reg. No 28,992; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr , Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Thomas W Banks, Reg. No. 32,719; Christopher P. Isaac, Reg. No. 32,616, Bryan C. Diner, Reg. No. 32,409; M. Paul Barker, Reg. No. 32,013; Andrew Chanho Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M Taylor, Reg. No. 33,216; Charles E. Van Horn, Reg. No. 40,266, Linda A. Wadler, Reg. No. 33,218, Jeffrey A. Berkowitz, Reg. No 36,743, Michael R. Kelly, Reg. No. 33,924; James B Monroe, Reg. No. 33,971; Doris Johnson Hines, Reg. No. 34,629, Allen R. Jensen, Reg. No. 28,224; Lori Ann Johnson, Reg. No. 34,498; David A. Manspeizer, Reg. No. 37,540; Therese A. Hendricks, Reg. No. 30,389, and M. Lawrence Oliverio, Reg. No. 30,915 Please address all correspondence to **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.** 1300 I Street, N.W. Washington, D.C. 20005, Telephone No. (202) 408-4000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Listing of Inventors Continued on Page 2 hereof. ☐ Yes ☐ No

Customer Number 22,852
Attorney Docket No. [Text]

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ORGANIC LIGHT-EMITTING DEVICES, the specification of which was filed on June 15, 2001 as United States Application Serial No. 09/868,262.

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I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.** (**CUSTOMER NUMBER 22,852**) Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg No 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R Hefter, Reg No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014, Albert J. Santorelli, Reg No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609, Stephen L. Peterson, Reg No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilley, Reg. No 27,932; Allen M. Sokal, Reg. No. 26,695, Robert D. Bajefsky, Reg No. 25,387, Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L Irving, Reg No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin I. Fuchs, Reg No 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg No 30,415; Thomas H Jenkins, Reg No 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; Roger D. Taylor, Reg. No. 28,992; David M. Kelly, Reg. No 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y Boyd, Jr., Reg. No. 31,738, Steven M. Anzalone, Reg No. 32,095; Jean B. Fordis, Reg No 32,984; Barbara C. McCurdy, Reg No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J Michael Jakes, Reg No. 32,824; Thomas W. Banks, Reg No. 32,719; Christopher P. Isaac, Reg. No. 32,616, Bryan C. Diner, Reg. No. 32,409, M. Paul Barker, Reg No. 32,013, Andrew Chanho Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363, Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,216; Charles E. Van Horn, Reg. No. 40,266; Linda A. Wadler, Reg. No 33,218; Jeffrey A. Berkowitz, Reg. No. 36,743; Michael R. Kelly, Reg. No. 33,921; James B. Monroe, Reg. No. 33,971; Doris Johnson Hines, Reg. No. 34,629; Allen R. Jensen, Reg. No. 28,224, Lori Ann Johnson, Reg No 34,498; David A. Manspeizer, Reg. No 37,540; Therese A. Hendricks, Reg. No. 30,389; and M. Lawrence Oliverio, Reg No. 30,915. Please address all correspondence to **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.**, 1300 I Street, N.W. Washington, D C. 20005, Telephone No. (202) 408-4000.

70

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

PATENT
Customer No. 22,852
Attorney Docket No. 08513.7034.00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
Heeks et al.	Group Art Unit: unknown
Serial No.: 09/868,262	Examiner: unknown
Filed: June 15, 2001	
For: ORGANIC LIGHT-EMITTING DEVICES	

Assistant Commissioner for Patents
Washington, DC 20231

CHANGE OF CORRESPONDENCE ADDRESS

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Dated: September 17, 2001

By: Therese A. Hendricks
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PATENT
Customer No. 22,852
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
Heeks et al.	Group Art Unit: unknown
Serial No.: 09/868,262	Examiner: unknown
Filed: June 15, 2001	
For: ORGANIC LIGHT-EMITTING DEVICES	

Assistant Commissioner for Patents
Washington, DC 20231

ADDED INVENTOR'S STATEMENT AS REQUIRED BY 37 CFR 1.497

I, Peter Devine, state that the error in inventorship in the international application PCT/GB99/04144 from which the above-identified application is derived, which involved leaving my name off the list of inventors, was an oversight and occurred without deceptive intent on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 11-12-2001 By: Peter Devine
Peter Devine